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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>5</sup> : <u>G02B 6/38</u> <u>6/42</u>	A1	(11) International Publication Number: <b>WO 92/00538</b>
		(43) International Publication Date: 9 January 1992 (09.01.92)

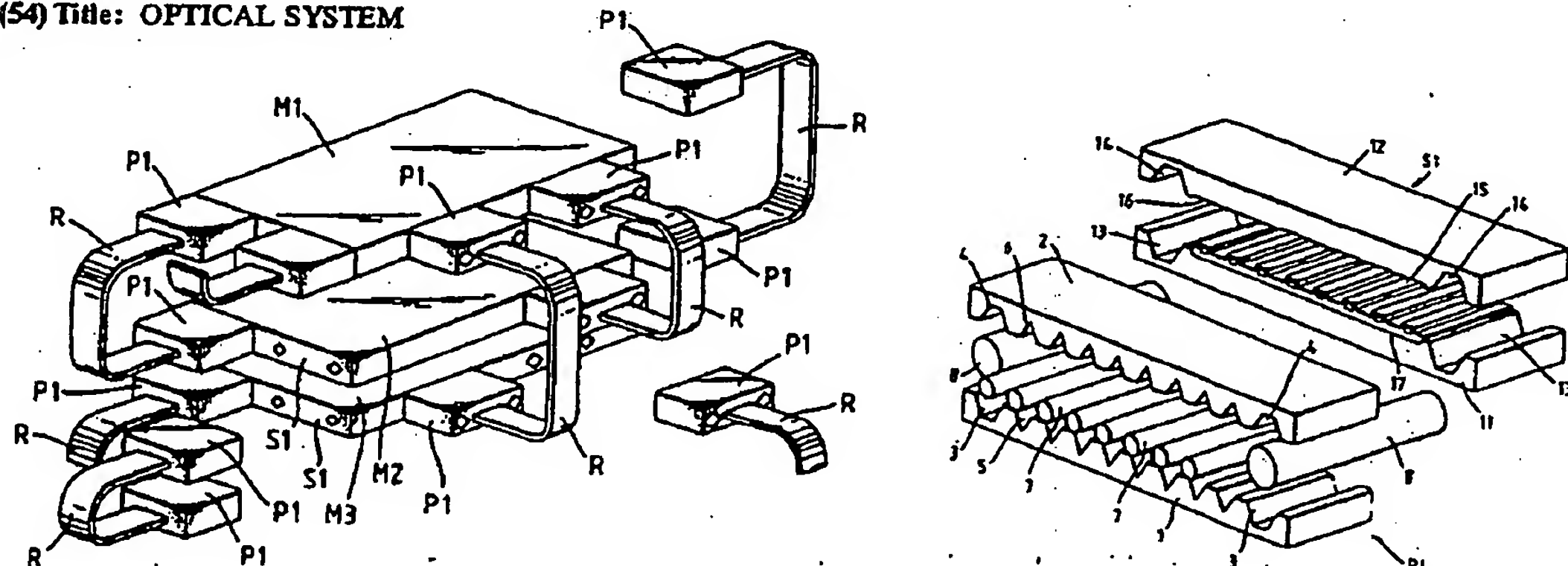
(21) International Application Number: PCT/GB91/01073  
(22) International Filing Date: 2 July 1991 (02.07.91)  
(30) Priority data: 9014639.0 2 July 1990 (02.07.90) GB  
(71) Applicant (for all designated States except US): BRITISH TELECOMMUNICATIONS PUBLIC LIMITED COMPANY [GB/GB]; 81 Newgate Street, London EC1A 7AJ (GB).  
(72) Inventors; and  
(75) Inventors/Applicants (for US only) : WELBOURN, Anthony, David [GB/GB]; 7 Fishbane Close, Ipswich, Suffolk IP5 7RE (GB). GILL, Michael, Dennis [GB/GB]; 140 Valley Road, Ipswich, Suffolk IP4 3AJ (GB).

(74) Agent: PRATT, David, Martin; Intellectual Property Unit, British Telecom, 151 Gower Street, London WC1E 6BA (GB).

(81) Designated States: AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent), US.

Published  
With international search report.

## (54) Title: OPTICAL SYSTEM



## (57) Abstract

An optical system comprises a hybrid optical motherboard (M1) having a plurality of optical interconnections formed therein, and a connector (P1). A connector region (S1) is formed in, but does not extend completely along, an edge portion of the hybrid optical motherboard (M1). The connector region (S1) contains a number of optical interconnections, and the connector (P1) contains an optical device (7). The connector (P1) is connectible to the connector region (S1) to align the optical device (7) of the connector with the optical interconnections contained in the connector region. The connector (P1) and the connector region (S1) each comprises first and second substrates (1, 2 and 11, 12) made of crystalline material and containing intersecting planes which can be delineated by etching. Each first substrate (1, 11) is formed with etched alignment groove means (3, 13), and each second substrate (2, 12) is formed with etched alignment groove means (4, 14) which are complementary to the alignment groove means of the associated first substrate. The alignment groove means (3, 13 and 4, 14) of the first and second substrates (1, 2 and 11, 12) of the connector (P1) and of the connector region (S1) are accurately aligned and contiguous when the two substrates are placed in face-to-face engagement, and the aligned contiguous alignment groove means of the connector can be accurately aligned, and be contiguous, with the aligned, contiguous alignment groove means of the connector region. The connector (P1) is provided with alignment means (5) for accurately positioning the associated optical device (7) between its two substrates (1, 12) with respect to the contiguous aligned groove means (3, 4) thereof.

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### OPTICAL SYSTEM

This invention relates to an optical interconnection system, and in particular to an interconnection system for hybrid optical motherboards.

A hybrid optical motherboard is a motherboard including optical interconnections and other optical components, and is, therefore, the optical equivalent of a printed circuit board (pcb). Electrical interconnections for the optical components are also provided on the motherboard as appropriate. One simple example of the flexibility of hybrid optical motherboard technology is shown in Figure 13.

Optical connectors are required to interconnect the optical interconnections of a hybrid optical motherboard and other optical components (which may form part of another hybrid optical motherboard). Such an optical connector must accurately align with optical interconnections on the motherboard, and must mate with a complementary connector region of the motherboard.

It is known to use micromachined silicon V-grooves for alignment purposes in such connector/connector region combinations. Thus, European patent specification 331334 describes a connector made from two identical silicon substrates which are held in precision alignment using two metal guiding rods which are pressed against bevelled edges of the substrates, the bevelled edges being formed by cleaving or sawing each of the substrates along the centres of the pair of micromachined V-grooves. The disadvantage of such an arrangement is that it is only possible to form a maximum of two connector regions on any one component, the connector regions being on opposite edges of the component and utilising the same pairs of V-grooves to form the alignment means for each region.

By analogy with the electrical interconnection of pcbs, it is readily apparent that applications exist where it would be advantageous to form several optical connector regions on one

optical component. A hybrid optical motherboard is one such example.

The present invention provides an optical system comprising a hybrid optical motherboard having a optical  
5 interconnections formed therein, and a connector, a connector region being formed in, but not extending completely along, an edge portion of the hybrid optical motherboard, the connector region containing a number of optical interconnections, and the connector containing an optical device, the connector  
10 being connectible to the connector region to align the optical device of the connector with the optical interconnections contained in the connector region, wherein the connector and the connector region each comprises first and second substrates made of crystalline material and containing  
15 intersecting planes which can be delineated by etching, each first substrate being formed with etched alignment groove means, and each second substrate being formed with etched alignment groove means which are complementary to the alignment groove means of the associated first substrate,  
20 whereby the alignment groove means of the first and second substrates of each of the connector and the connector region are accurately aligned and contiguous when the two substrates are placed in face-to-face engagement, and the aligned contiguous alignment groove means of the connector can be  
25 accurately aligned, and be contiguous, with the aligned, contiguous alignment groove means of the connector region, and wherein the connector is provided with alignment means for accurately positioning the associated optical device between its two substrates with respect to the contiguous aligned  
30 groove means thereof.

In a preferred embodiment, there are a plurality of connectors, and a plurality of connector regions, each connector region being formed in, but not extending completely along, a respective edge portion of the hybrid optical  
35 motherboard, each connector region containing a number of optical interconnections, and each connector containing an optical device, each of the connectors being connectible to one of the connector regions to align the device of that

connector with the optical interconnections contained in that connector region, wherein each connector and each connector region comprises first and second substrates made of crystalline material and containing intersecting planes which  
5 can be delineated by etching, each first substrate being formed with etched alignment groove means, and each second substrate being formed with etched alignment groove means which are complementary to the alignment groove means of the associated first substrate, whereby the alignment groove means  
10 of the first and second substrates of each connector and each connector region are accurately aligned and contiguous when the two substrates are placed in face-to-face engagement, and the aligned contiguous alignment groove means of a given connector can be accurately aligned, and be contiguous, with  
15 the aligned, contiguous alignment groove means of a respective connector region, and wherein each connector is provided with alignment means for accurately positioning the associated optical device between its two substrates with respect to the contiguous aligned groove means thereof.

20 Advantageously, the hybrid optical motherboard has four orthogonal edge portions. Preferably, there are at least three connector regions.

Conveniently, a first of the connector regions is formed in one of said orthogonal edge portions, and a second of the  
25 connector regions is formed in the same orthogonal edge portion or in an orthogonal edge portion at right-angles thereto.

It will be appreciated that the nature of the crystalline material used for the substrates of the hybrid optical  
30 motherboard means that connector regions can only be formed in edge portions of the substrates that are orthogonal to the intersecting planes thereof. In practice, this means edge portions which form a rectangle or parts of a rectangle. Formation of connector regions is not, therefore, restricted  
35 to one in each of a pair of parallel opposite edges as is the case with prior art arrangements. Thus, connector regions can be formed in adjacent orthogonal edge portions, and more than one connector region can be formed in the same edge portion.



Moreover, because the or each connector region does not extend along the entire length of the associated motherboard edge portion, the motherboard edge portions have plenty of room for electrical connections as well as optical connections. This is to be contrasted with prior art arrangements in which optical connector regions take up the entire width of a motherboard.

Advantageously, each connector is formed with means for mounting the associated optical device, said mounting means constituting the alignment means of that connector. Preferably, each alignment groove means is constituted by a pair of parallel V-grooves.

The optical device of at least one of the connectors may be constituted by a one dimensional array of generally parallel optical components. Advantageously, the array of said at least one connector is mounted between, and accurately aligned with, the V-grooves of the substrates of that connector. Preferably, the components of the array of said at least one connector are optical fibres, and the mounting means of the associated substrates are constituted by a plurality of V-grooves etched into those substrates, the optical fibres being mounted in said V-grooves. Alternatively, the optical components of the array of said at least one connector are planar waveguides.

In other embodiments, the optical device of at least one of the connectors may be an ELED chip, a laser chip, a separately-formed planar waveguide component such as a lithium niobate waveguide component, or a detector chip. In the last-mentioned case, the system may further comprise a plurality of etched V-grooves formed in the first substrate of said at least one connector provided with a detector chip, the detector chip overlying said V-grooves.

Preferably, the system further comprises alignment pins positioned within the two pairs of contiguous V-grooves of each connector.

Advantageously, the hybrid optical motherboard is constituted by two substrates made of crystalline material and containing intersecting planes which can be delineated by

etching, said two substrates constituting the first and second substrates of each connector region.

Conveniently, each substrate is a (100) silicon substrate.

In a preferred embodiment, at least one of the substrates  
5 of at least one of the connectors and/or at least one of the connector regions is formed with flexible portions adjacent to the alignment groove means, said flexible portions being defined by micromachined grooves or cantilevered portions.

The system may further comprise an optical interface  
10 component for interfacing between a connector and a connector region, the interface component comprising first and second substrates made of crystalline material and containing intersecting planes which can be delineated by etching, the first interface component substrate being formed with etched  
15 alignment groove means, and the second interface component substrate being formed with etched alignment groove means which are complementary to the alignment groove means of the first interface component substrate, whereby the alignment groove means of the first and second interface component  
20 substrates are accurately aligned and contiguous when the two substrates are placed in face-to-face engagement, and the aligned contiguous groove means of the interface component can be aligned, and be contiguous, with the aligned, contiguous alignment groove means of a respective connector and of a  
25 respective connector region. Preferably, the optical interface component carries at least one lens, and/or at least one optical filter, and/or at least one optical isolator.

An optical system constructed in accordance with the invention will now be described in detail, by way of example,  
30 with reference to the accompanying drawings, in which: -

Figure 1 is a perspective view showing three hybrid optical motherboards and optical connectors therefor;

Figure 2 is an exploded perspective view of a first form of optical connector;

35 Figure 3 is an exploded perspective view which shows schematically a connector region of one of the hybrid optical motherboards.

Figure 4 is an exploded perspective view illustrating the interconnection between the connector of Figure 2 and the connector region of Figure 3;

Figure 5 is an end elevation showing a modified form of connector;

Figure 6 is an exploded perspective view which shows schematically the interconnection between two hybrid optical motherboards;

Figure 7 is an exploded perspective view of part of one of the hybrid optical motherboards;

Figure 8 is an exploded perspective view of a second form of optical connector;

Figure 9 is a side elevation illustrating the interconnection of the connector of Figure 8 and a connector region of one of the hybrid optical motherboards;

Figure 10 is an exploded perspective view of another part of one of the hybrid optical motherboards;

Figure 11 is an exploded perspective view of a third form of optical connector;

Figure 12 is an exploded perspective view illustrating the interconnection between the connector of Figure 2 and the connector region of Figure 3 via an interface component; and

Figure 13 is a perspective view of a simple hybrid optical motherboard.

Referring to the drawings, Figure 1 shows three hybrid optical mother boards M1, M2 and M3, the various optical interconnections of each of which (waveguides and/or optical fibres) are optically connected (either to interconnections on another hybrid optical motherboard or to other optical devices) by fibre ribbons R and optical connectors P1. Each of the optical connectors P1 (one of which is shown in detail in Figure 2) mates with a complementary connector region S1 (one of which is shown in Figure 3) in a manner shown in Figure 4.

As shown in Figure 2, the connector P1 includes a (100) silicon sub-mount 1 and a (100) silicon coverplate 2. The sub-mount 1 is formed with a pair of accurately-positioned V-grooves 3, and the coverplate 2 is formed with a pair of



accurately-positioned V-grooves 4. The V-grooves 3 and 4 are positioned so that they are in alignment with the sub-mount 1 and the coverplate 2 of the connector P1 are placed in face-to-face engagement.

5       The V-grooves 3 and 4 are formed by micromachining, the V-grooves 3 and 4 being formed in the (100) silicon sub-mount 1 and the coverplate 2 by an anisotropic etching process using an etchant such as ethylene diamine pyrocatechol and water (EDP) or KOH. With such etchants, some crystal planes in  
10 silicon etch much more slowly than others. Consequently, when a masking window is aligned correctly along the intersections of two such planes with the surface of the silicon, etching terminates at these planes, and a V-shaped groove results. Since these planes are related directly to the  
15 crystallographic structure of the silicon, the angle and direction of the V-groove are very tightly controlled. The width of such a V-groove can, therefore, be controlled very accurately, typically to a toleration of 0.25µm to 0.5µm. Thus, the V-grooves 3 and 4 can be formed accurately with  
20 respect to the surfaces of the sub-mount 1 and the coverplate 2 by etching (using EDP or KOH) through masks formed with suitably positioned and dimensioned mask windows. The V-grooves 3 and 4 have flat bases which result from the etching process being limited to a predetermined etch time.

25       The sub-mount 1 and the coverplate 2 are also each formed with an array of eight parallel V-grooves. 5 and 6 respectively. The V-grooves 5 and 6 are accurately positioned with respect to the V-grooves 3 and 4. These V-grooves 5 and 6 are formed by micromachining at the same time as the V-  
30 grooves 3 and 4. The V-grooves 5 and 6 are complete V-grooves, whereas the V-grooves 3 and 4 have flat bases. The etch time which limits the etching process so as to define the flat bases of the V-grooves 3 and 4 is sufficient to ensure that the complete V-grooves 5 and 6 are formed. The V-grooves  
35 3, 5 and 4, 6 are positioned so as to be in alignment when the sub-mount 1 and the coverplate 2 are placed in face-to-face engagement to form the connector P1.

Prior to completing the connector P1 in this manner, a respective optical fibre 7 is positioned within each of the V-grooves 5. The connector P1 is assembled by placing precision metal pins 8 in the grooves 3 in its sub-mount 1, and using the pins to align the associated coverplate 2. The two parts 1 and 2 are then bonded together to form the plug P1. The pins 8 are then removed to allow finishing of the connector P1. The fibres 7 are then cleaved and polished to ensure that their end faces are flush with the end face of the connector P1. The plug P1 is then completed by re-inserting the pins 8 into the aligned grooves 3 and 4.

Figure 3 is a schematic representation of a connector region S1 of one of the hybrid optical motherboards M1, M2 and M3. The connector region S1 includes a (100) silicon sub-mount 11 and a (100) silicon coverplate 12. Accurately-aligned V-grooves 13 and 14 are formed respectively in the sub-mount 11 and the coverplate 12, the V-grooves having flat bases and being formed by micromachining in the same manner as the V-grooves 3 and 4.

The sub-mount 11 is formed with an array of eight parallel planar waveguides 15, and the coverplate 12 is formed with a recess 16 for receiving the portions of the waveguides that project beyond the upper surface of the sub-mount. The waveguides 15 are positioned in a recess 17 formed in the central portion of the sub-mount 11. The waveguides 15 are accurately positioned with respect to the V-grooves 13. The V-grooves 13 and 14 are positioned so as to be in alignment when the sub-mount 11 and the coverplate 12 are placed in face-to-face engagement to form the connector region S1, precision metal pins (not shown, but identical to the pins 8) being used to ensure this alignment. The waveguides 15 can carry out any passive optical function such as multiplexing, directional coupling, optical signal processing and channel scrambling, and so need not be in the simple, parallel configuration shown. In particular, each connector could have a different number of inputs and outputs, and the waveguides would curve, cross-over or merge, or may interconnect with any other optical device hybrid integrated onto, the motherboard.

The waveguides 15 are defined by three oxide layers 15a, 15b and 15c formed on the central portion of the silicon substrate forming the sub-mount 11. A first oxide layer 15a forms a buffer between the waveguide cores and the underlying substrate, a second (doped) oxide layer 15b forms the waveguide cores, and the third layer 15c forms an overlay. These layers 15a, 15b and 15c are not all shown in Figure 3 in their entirety, but this figure does show the buffer layer 15a, the parts of the layer 15b that form the waveguide cores, and the layer 15c. The buffer layer 15a is formed in the recess 17, and the core layer 15b is then formed on the buffer layer. Waveguide stripes are then etched into the layer 15b, this etching step being carried out simultaneously with the opening up of the window which will later define the V-grooves 13. This ensures a minimum alignment error between the V-grooves 13 and the waveguides 15, this error being determined basically by the variations in the linewidth control during the processing combined with mask accuracy. The resulting positional control is within the range of from 0.5µm to 1µm. This process of self-aligning waveguides to V-grooves is described in greater detail in the specification of British patent application No 9021944.5.

Following the alignment of the sub-mount 11 and the coverplate 12, these items are bonded together, and the pins are removed. The end faces of the waveguides 15 are then polished to ensure that they are flush with the end face of the connector region S1.

The connector P1 and the connector region S1 are of complementary forms so that they can be connected together as shown in Figure 4, thereby providing connection and accurate alignment of the optical fibres 7 and the waveguides 25. Thus, the connector P1 can be connected to the connector region S1 by inserting the pins 8 of the connector into the aligned V-grooves 13/14 of the connector region. Because the optical components associated with the connector P1 and the connector region S1 are accurately aligned with the associated V-grooves 3/4 and 13/14, these optical components will then be accurately aligned with one another. Moreover, the dimensions

of the V-grooves 3, 4 and 13, 14 are controlled sufficiently to ensure that the axes of the pins 8 lie in a fixed relationship to the optical plane of the optical component(s) associated with the connector P1 and the connector region S1.

5 Obviously, the connector P1 could be modified to carry waveguides so that waveguide-to-waveguide connection could be achieved. Similarly, fibre-to-fibre connection could be achieved by providing both the connector P1 and the connector region S1 with optical fibres.

10 Obviously, all the connector regions S1 of each of the motherboards M1, M2 and M3 are formed at the same time, and preferably in the same process as the interconnections themselves are formed. This means, of course, that the substrates 11 and 12 are much larger and more complex than  
15 shown in Figures 3 and 4.

In order to facilitate removal of the precision metal pins prior to the finishing steps for either a connector P1 or a connector region S1, the associated coverplate 2 (or 12) may be formed with flexible silicon springs 2a (see Figure 5)  
20 which are defined by grooves 2b which are micromachined into the substrate from which that coverplate 2 (or 12) is formed. The associated sub-mount 1 (or 11) could also be formed with flexible silicon springs, thereby further facilitating the removal (and possible subsequent replacement) of the pins.  
25 Appropriate design of the flexible silicon springs 2a allows the metal pins 8 to have a lower tolerance specification than would otherwise be the case.

Figure 6 is a schematic representation showing how two hybrid optical motherboards can be connected together by means  
30 of connector regions C1 (these being the only part of the motherboards shown in Figure 6). The connector regions C1 are modified forms of the connector regions S1. Thus, each connector region C1 has a respective (100) silicon sub-mount 21 and a respective (100) silicon coverplate 22. Pairs of  
35 accurately-aligned V-grooves 23 and 24 are formed respectively in the sub-mounts 21 and the coverplates 22, the V-grooves having flat bases and being formed by micromachining in the manner described above. Each of the sub-mounts 21 is formed



with an array of eight parallel waveguides 25, these being formed in the same manner as the waveguides 15. As was the case with the waveguides 15, the waveguides 25 can carry out any passive optical function. Precision metal pins 26 are associated with one pair of aligned grooves 23/24 of each connector region C1, so that each connector region forms both a plug and a socket. The two connector regions C1 can, therefore, be connected together as shown in Figure 6 so as to interconnect the waveguides carried by the hybrid optical motherboards in these regions.

Figure 7 is a schematic representation of the sub-mounts 31 of a connector region C2 of another hybrid optical motherboard which forms a tapped optical backplane. The sub-mount 31, which is a (100) silicon sub-mount, is formed with three pairs of accurately aligned, flat-bottomed V-grooves 33a, 33b and 33c, the V-grooves being formed by micromachining in the manner described above. The V-grooves 33b are aligned with the V-grooves 33a, and the V-grooves 33c are at right-angles to both the V-grooves 33a and 33b. The sub-mount 31 is also formed with eight waveguides 35, and eight tap waveguides 36. All the waveguides 35 and 36 are formed in a similar manner to that in which the waveguides 15 are formed. The waveguides 35 are parallel and define a "bus in" in the region of the V-grooves 33a, and a "bus out" in the region of the V-grooves 33b. The waveguides 36 have straight portions terminating at the edge of the sub-mount 31 containing the V-grooves 33c, and curved portions which merge with the waveguides 35. The waveguides 36 thus define a "bus tap" in the region of the V-grooves 33c. The connector region C2 is completed by a complementary coverplate (not shown). The connector region C2 could be used to tap off light (from the "bus in") to either waveguides or fibres, via the "bus tap" and an appropriate connector P1 (only the precision metal pins 8 of which are shown), as well as feeding light directly through (from the "bus in") to either waveguides or fibres, via the "bus out" and an appropriate connector P1 (only the pins 8 of which are shown).



Figure 8 shows another form of optical connector P2 for connection to hybrid optical motherboards such as the motherboards M1, M2 and M3 of Figure i. The connector P2 has a (100) silicon sub-mount 41 and a (100) silicon coverplate 42. Accurately-aligned, flat-bottomed V-grooves 43 and 44 are formed respectively in the sub-mount 41 and in the coverplate 42, the V-grooves being formed by micromachining in the manner described above. Short, flat-bottomed V-grooves 43a are micromachined in the sub-mount 41 at the same time as the V-grooves 43 are formed. This ensures that the V-grooves 43a are accurately aligned with the V-grooves 43. A recess 45 is formed, by etching, in the coverplate 42, the recess conveniently being formed at the same time as the V-grooves 44. A detector chip 46 is positioned on the sub-mount 41 so as to overlie (see Figure 9) the V-grooves 43a. The chip 46 is aligned with respect to the recess 45, and is bonded to the sub-mount 41 and the coverplate 42 when these two items are placed in face-to-face engagement and bonded together, precision metal pins 47 being inserted into the V-grooves 43/44 to ensure alignment of the sub-mount and the coverplate. If required, the pins 47 may be removed from the plug P2 to facilitated any essential finishing operations. They can be replaced to complete the plug P2.

As shown in Figure 9, the plug P2 can be used with a connector region S2 (shown schematically) of one of the motherboards M1, M2 and M3, the connector region S2 having eight optical fibres 48. These fibres 48 are aligned accurately with the V-grooves 43a so that light from the fibres is reflected upwards into the detector chip 46 by the inclined end walls 43a' of the V-grooves 43. It should be noted that the micromachining process inevitably results in the formation of the inclined end walls 43a', owing to the position of the crystal planes in (100) silicon. Moreover, because of the natural properties of silicon, the etching process inevitably results in the inclined end wall 43a' defining a mirror surface.

Figure 10 is a schematic representation of the sub-mount 51 of a connector region S3 of a hybrid optical motherboard

such as the motherboards M1, M2 and M3 of Figure 1. The sub-mount 51 is a (100) silicon sub-mount provided with accurately-aligned, flat-bottomed V-grooves 53, the V-grooves being formed by micromachining in the manner described above.

5 The sub-mount 51 is also formed with an array of eight waveguides 55, the waveguides being formed in a similar manner to that in which the waveguides 15 of the embodiment of Figure 3 are formed. The waveguides 55 are, however, curved, so that their input ends 55a are less widely spaced than their output

10 ends 55b. An ELED (or laser) array chip 56 is positioned in a precisely-positioned etched well (not shown) which is accurately aligned with the waveguide input ends 55a by means of a pair of locating ridges 57 formed on the sub-mount 51. The curved waveguides 55 permit matching of the spacing of the

15 output ends 55b (which are spaced to complement the spacing of fibres in a matching connector) and the input ends 55a (which are spaced to complement the minimum convenient spacing of the ELEDs in the source array 56). In this way, wafer yield is maximised. The connector region S3 is completed by a

20 complementary coverplate (not shown), and precision metal pins 58.

Figure 11 shows another form of optical connector P3 for connection to hybrid optical motherboards such as the motherboards M1, M2 and M3 of Figure 1. The connector P3 has

25 a (100) silicon sub-mount 61 and a (100) silicon coverplate 62. Accurately-aligned V-grooves 63 and 64 are formed respectively in the sub-mount 61 and in the coverplate 62, the V-grooves having flat bases and being formed by micromachining in the manner described above. Recesses 69 and 70 are formed

30 respectively in the sub-mount 61 and in the coverplate 62. A lithium niobate waveguide component 68 is mounted in the recess 69 in the sub-mount 61. As with the earlier embodiments, precision metal pins 65 are used to ensure alignment of the sub-mount 61 and the coverplate 62 when these

35 items are placed in face-to-face engagement to form the connector P3. The pins 65 are removable to permit finishing (polishing) of the end face of the waveguide component 68. They can then be replaced to complete the connector P3.

- 14 -

The waveguide component 68 is conveniently fixed within the recess 69 by flip-chip bonding, in which case the recess would contain an insulating dielectric layer and metal routing tracks and contact pads (not shown). (Flip-chip bonding is a well-known technique in which a component provided with contact pads on one surface is soldered to a substrate also provided with contact pads). Each contact pad on the component is soldered directly to a respective contact pad on the component on the substrate. By providing a sufficiently large number of contact pads, the surface tension of the molten solder pulls the component 68 into automatic alignment with fiducial marks provided on the substrate, with a sub-micron accuracy. In this case, the mask windows for the V-grooves 63 are defined during the metallisation patterning of the contact pads within the recess 69, thereby ensuring minimal alignment error between the V-grooves and the waveguide component 68.

In making optical interconnections, it is important to control the optical properties of the interface, because roughness or dust will cause light to be scattered and the signal to be attenuated. It can be advantageous to change the optical properties using filters with a controlled wavelength transparency window. Unlike known optical connection techniques, the system of the present invention allows the interface to be controlled. The surfaces of both a connector and a connector region can be polished after removal of the metal pins, and optical coatings may be added to give low reflection or wavelength dependence of the connector. Where the optical field is of a different distribution in the two components, lenses may be readily added at the interface to improve mode matching. These lenses may be formed by any of several known techniques and attached directly to the face of the connector or connector region, or may be formed in an additional silicon component containing V-grooves matching those of the connector and connector region, and which is mounted and aligned using the same metal pins. Such a component may be additionally carry optical isolators.

Thus, Figure 12 shows the interconnection of a connector P1 to a connector region S1 of a hybrid optical motherboard such as the motherboards M1, M2 and M3 of Figure 1, via an interface component I. The interface component I is formed from a (100) silicon sub-mount 71 and a (100) silicon coverplate 72. Accurately-aligned V-grooves 73 and 74 are formed respectively in the sub-mount 73 and the coverplate 74, the V-grooves being formed by micromachining in the manner described above. The V-grooves 73, 74 are positioned so as to be in alignment with the V-grooves 3, 4 of the connector P1 and with the V-grooves 13, 14 of the connector region S1 when the components are assembled together. The pins 8 of the connector P1 are of such a length as to pass right through the aligned V-grooves 73, 74 and into the aligned V-grooves 13, 14 of the connector region S1. The interface component I is provided with lenses, optical filters, optical isolators (not shown) or any combination of these components.

It will be apparent that a number of modifications could be made to the optical connectors described above. Thus, the V-grooves 3, 4 etc which are used for alignment purposes could be complete (rather than flat-bottomed) grooves where the thickness of the associated substrate 1 etc permits. Moreover, the number of optical components (such as fibres and waveguides) that can be used with optical connectors constructed in accordance with the invention is not critical, so that optical connectors having other numbers of optical components than eight are possible. It would also be possible to use other forms of electro-optic or passive waveguide components in place of the lithium niobate waveguide component. In another modification, the grooves 2b which define the flexible silicon springs 2a are cantilevered grooves.

CLAIMS

i. An optical system comprising a hybrid optical motherboard having a optical interconnections formed therein, and a connector, a connector region being formed in, but not extending completely along, an edge portion of the hybrid optical motherboard, the connector region containing a number of optical interconnections, and the connector containing an optical device, the connector being connectible to the connector region to align the optical device of the connector with the optical interconnections contained in the connector region, wherein the connector and the connector region each comprises first and second substrates made of crystalline material and containing intersecting planes which can be delineated by etching, each first substrate being formed with etched alignment groove means, and each second substrate being formed with etched alignment groove means which are complementary to the alignment groove means of the associated first substrate, whereby the alignment groove means of the first and second substrates of each of the connector and the connector region are accurately aligned and contiguous when the two substrates are placed in face-to-face engagement, and the aligned contiguous alignment groove means of the connector can be accurately aligned, and be contiguous, with the aligned, contiguous alignment groove means of the connector region, and wherein the connector is provided with alignment means for accurately positioning the associated optical device between its two substrates with respect to the contiguous aligned groove means thereof.

2. An optical system as claimed in claim 1, wherein there are a plurality of connectors, and a plurality of connector regions, each connector region being formed in, but not extending completely along, a respective edge portion of the hybrid optical motherboard, each connector region containing a number of optical interconnections, and each connector containing an optical device, each of the connectors being connectible to one of the connector regions to align the



device of that connector with the optical interconnections contained in that connector region, wherein each connector and each connector region comprises first and second substrates made of crystalline material and containing intersecting planes which can be delineated by etching, each first substrate being formed with etched alignment groove means, and each second substrate being formed with etched alignment groove means which are complementary to the alignment groove means of the associated first substrate, whereby the alignment groove means of the first and second substrates of each connector and each connector region are accurately aligned and contiguous when the two substrates are placed in face-to-face engagement, and the aligned contiguous alignment groove means of a given connector can be accurately aligned, and be contiguous, with the aligned, contiguous alignment groove means of a respective connector region, and wherein each connector is provided with alignment means for accurately positioning the associated optical device between its two substrates with respect to the contiguous aligned groove means thereof.

3. A system as claimed in claim 2, wherein the hybrid optical motherboard has four orthogonal edge portions.
4. A system as claimed in claim 2 or claim 3, wherein there are at least three connector regions.
5. A system as claimed in claim 3, or in claim 4 when appendant to claim 3, wherein a first of the connector regions is formed in one of said orthogonal edge portions, and a second of the connector regions is formed in the same orthogonal edge portion or in an orthogonal edge portion at right-angles thereto.
6. A system as claimed in any one of claims 2 to 5, wherein each substrate of each connector is formed with means for mounting the associated optical device, said mounting means constituting the alignment means of that connector.

7. A system as claimed in any one of claims 1 to 6, wherein each aligned groove means is constituted by a pair of parallel V-grooves.

8. A system as claimed in any one of claims 1 to 7, wherein the optical device of at least of the connectors is constituted by a one-dimensional array of generally parallel optical components.

9. A system as claimed in claim 8 wherein appendant to claim 7, wherein the array of said at least one connector is mounted between, and accurately aligned with, the V-grooves of the substrates of that connector.

10. A system as claimed in claim 9 wherein appendant to claim 6, wherein the optical components of the array of said at least one connector are optical fibres, and the mounting means of the associated substrates are constituted by a plurality of V-grooves etched into those substrates, the optical fibres being mounted in said V-grooves.

11. A system as claimed in claim 8 or claim 9, wherein the optical components of the array of said at least one connector are planar waveguides.

12. A system as claimed in any one of claims 1 to 7, wherein the optical device of at least one of the connectors is an ELED chip.

13. A system as claimed in anyone of claims 1 to 7, wherein the optical device of at least one of the connectors is a laser chip.

14. A system as claimed in any one of claims 1 to 7, wherein the optical device of at least one of the connectors is a separately-formed planar waveguide component.

15. A system as claimed in claim 14, wherein said optical device is a lithium niobate waveguide component.
16. A system as claimed in any one of claims 1 to 7, wherein the optical device of at least one of the connectors is a detector chip.
17. A system as claimed in claim 16, further comprising a plurality of etched V-grooves formed in the first substrate of said at least one connector provided with a detector chip, the detector chip overlying said V-grooves.
18. A system as claimed in claim 7, or in any one of claims 8 to 17 when appendant to claim 7, further comprising alignment pins positioned within the two pairs of contiguous V-grooves of each connector.
19. A system as claimed in any one of claims 1 to 18, wherein the hybrid optical motherboard is constituted by two substrates made of crystalline material and containing intersecting planes which can be delineated by etching, said two substrates constituting the first and second substrates of the or each connector region.
20. A system as claimed in any one of claims 1 to 19, wherein each substrate is a (100) silicon substrate.
21. A system as claimed in any one of claims 1 to 20, wherein at least one of the substrates of at least one of the connectors and/or at least one of the connector regions is formed with flexible portions adjacent to the alignment groove means, said flexible portions being defined by micromachined grooves or cantilevered portions.
22. A system as claimed in any one of claims 1 to 21, further comprising an optical interface component for interfacing between a connector and a connector region, the interface component comprising first and second substrates made of

crystalline material and containing intersecting planes which can be delineated by etching, the first interface component substrate being formed with etched alignment groove means, and the second interface component substrate being formed with etched alignment groove means which are complementary to the alignment groove means of the first interface component substrate, whereby the alignment groove means of the first and second interface component substrates are accurately aligned and contiguous when the two substrates are placed in face-to-face engagement, and the aligned contiguous groove means of the interface component can be aligned and be contiguous, with the aligned, contiguous alignment groove means of a respective connector and of a respective connector region.

23. A system as claimed in claim 22, wherein the optical interface component carries at least one lens, and/or at least one optical filter, and/or at least one optical isolator.

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Fig. 1.

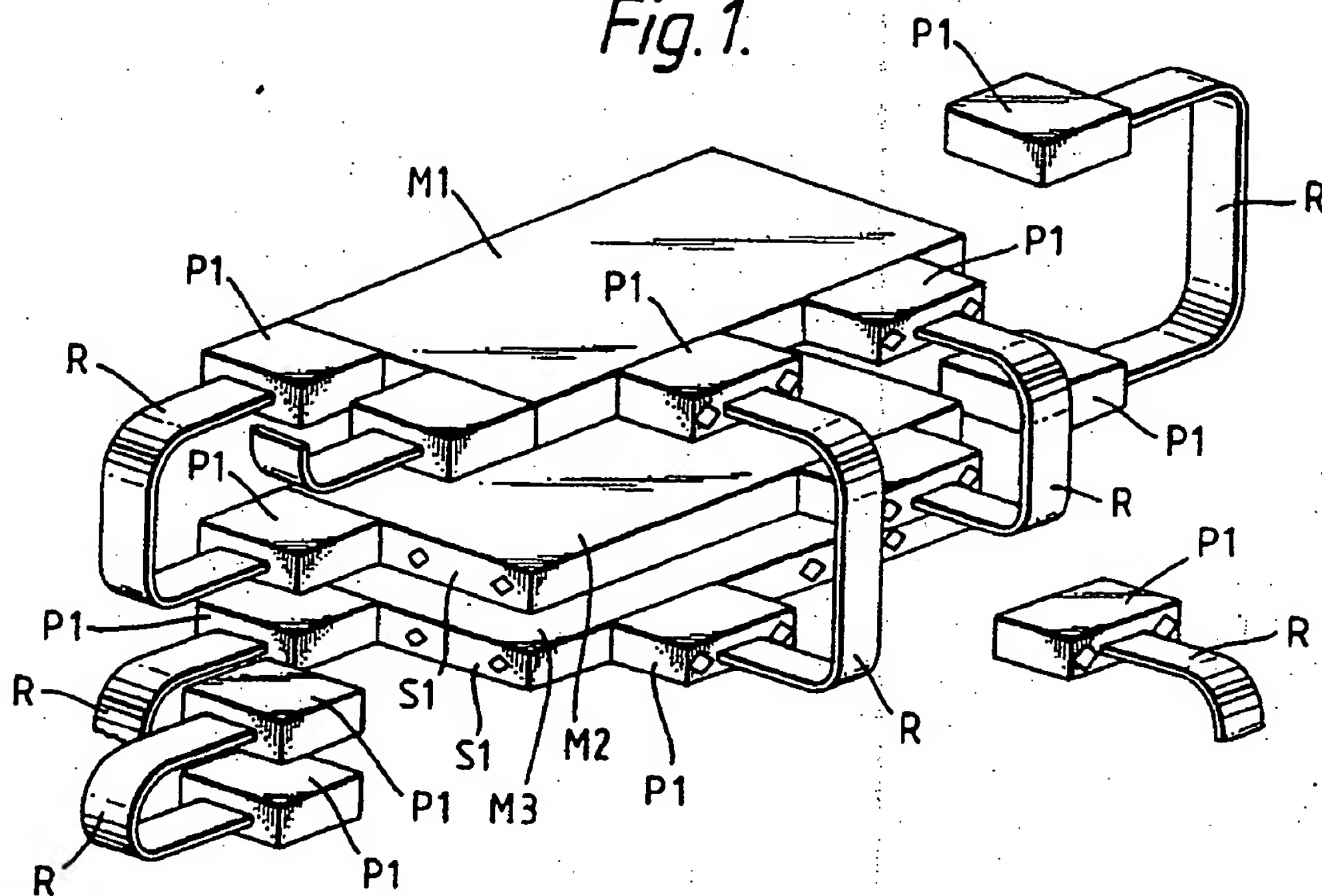
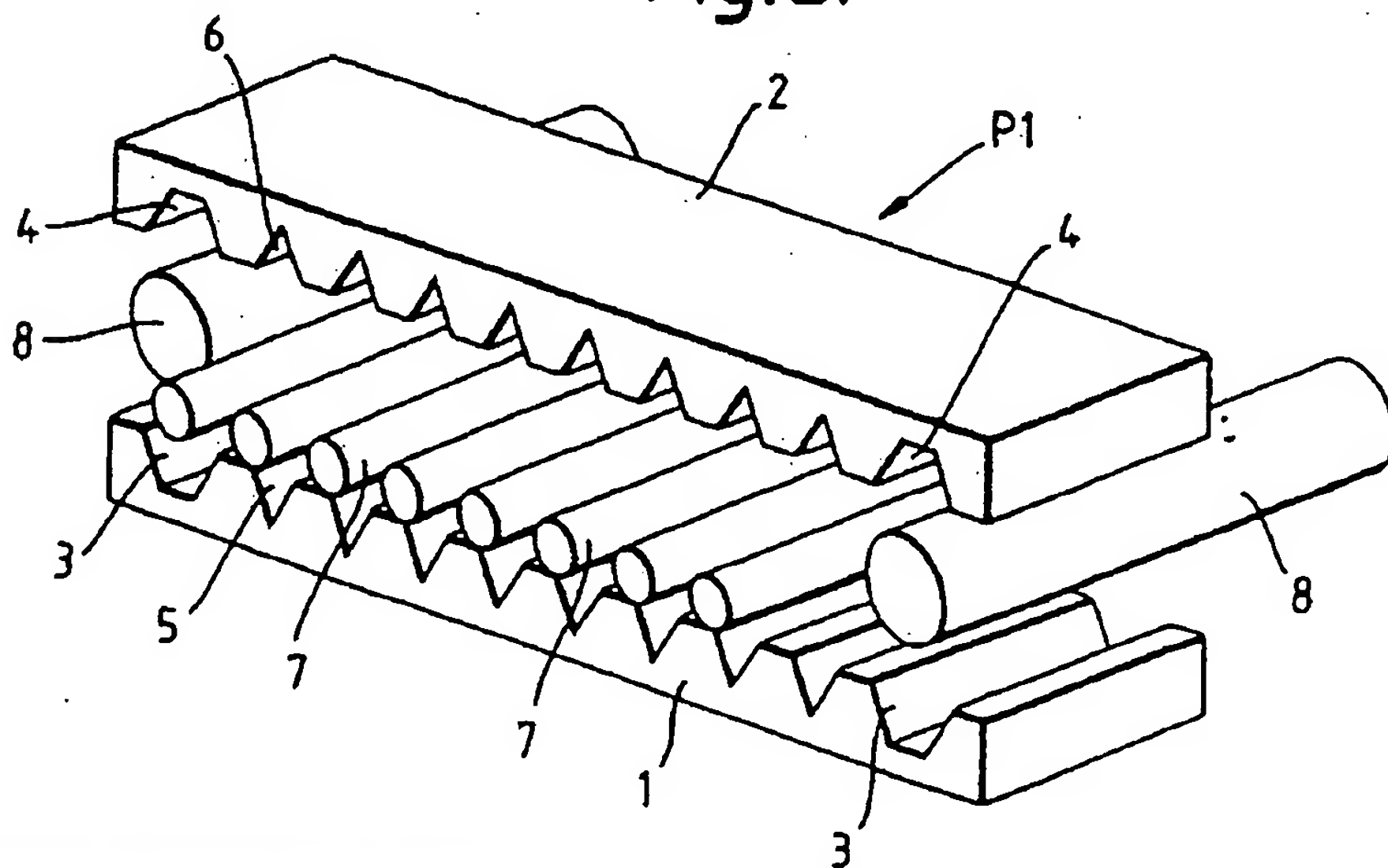


Fig. 2.





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Fig. 3.

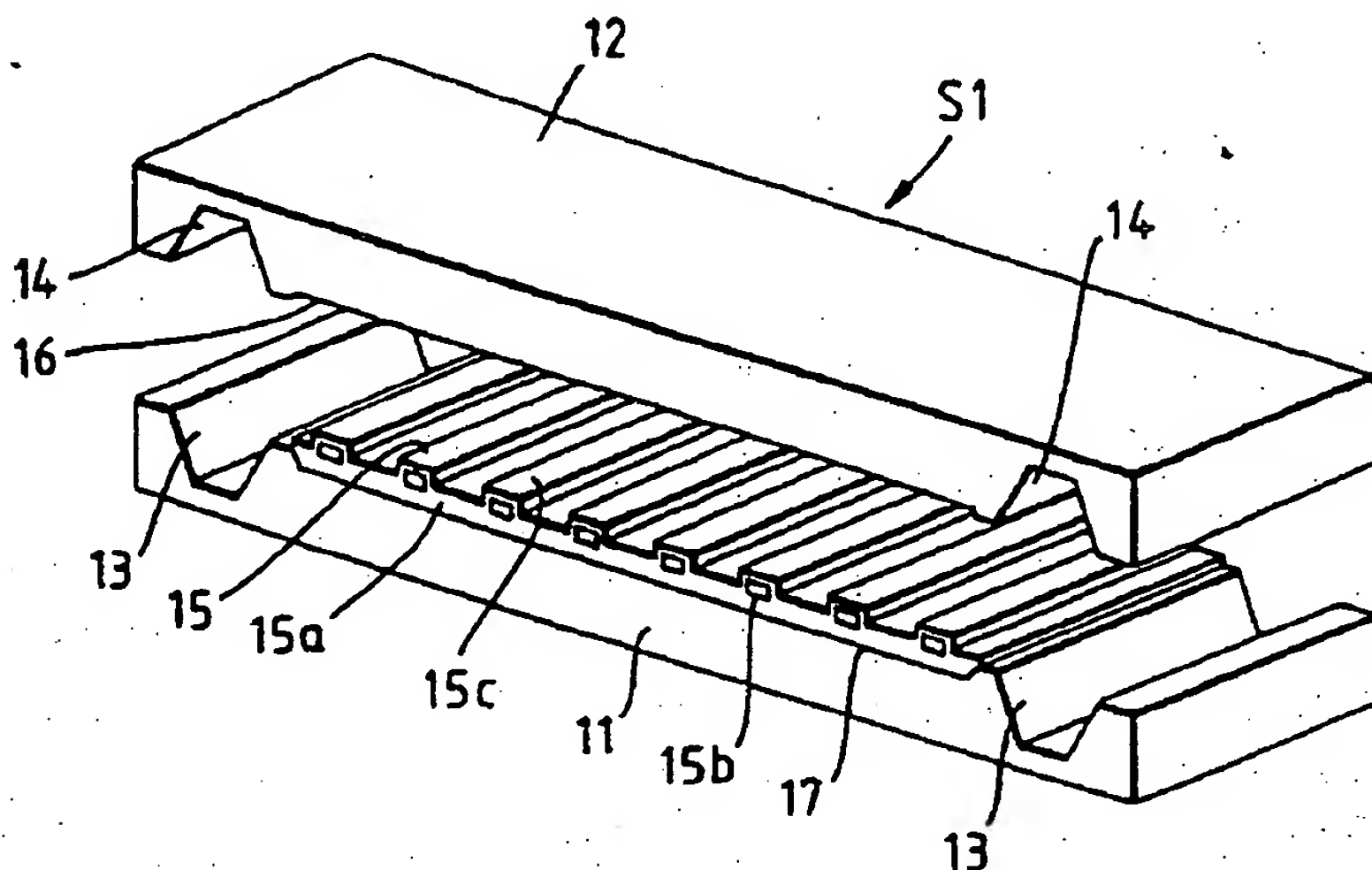
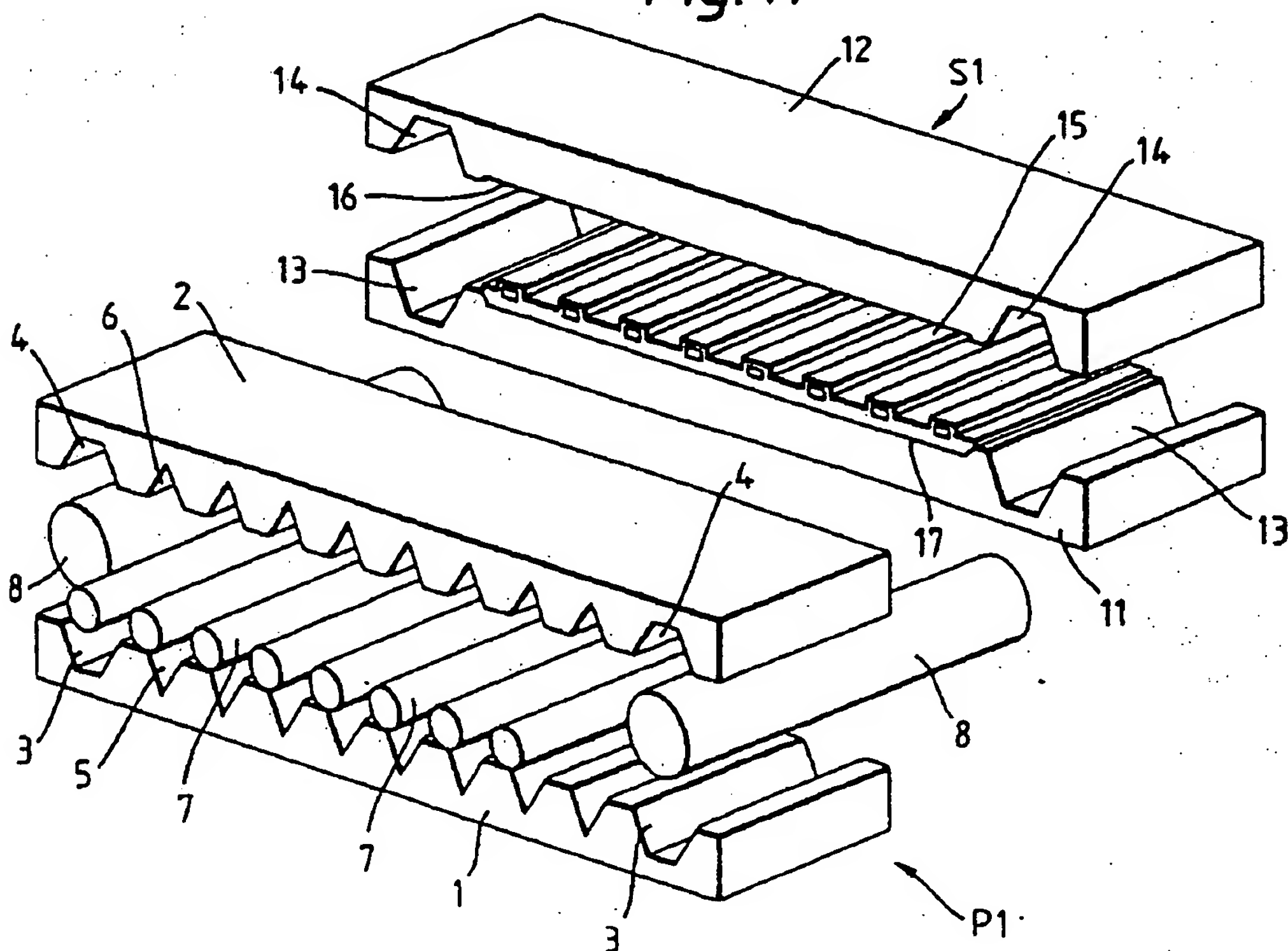


Fig. 4.



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Fig. 5.

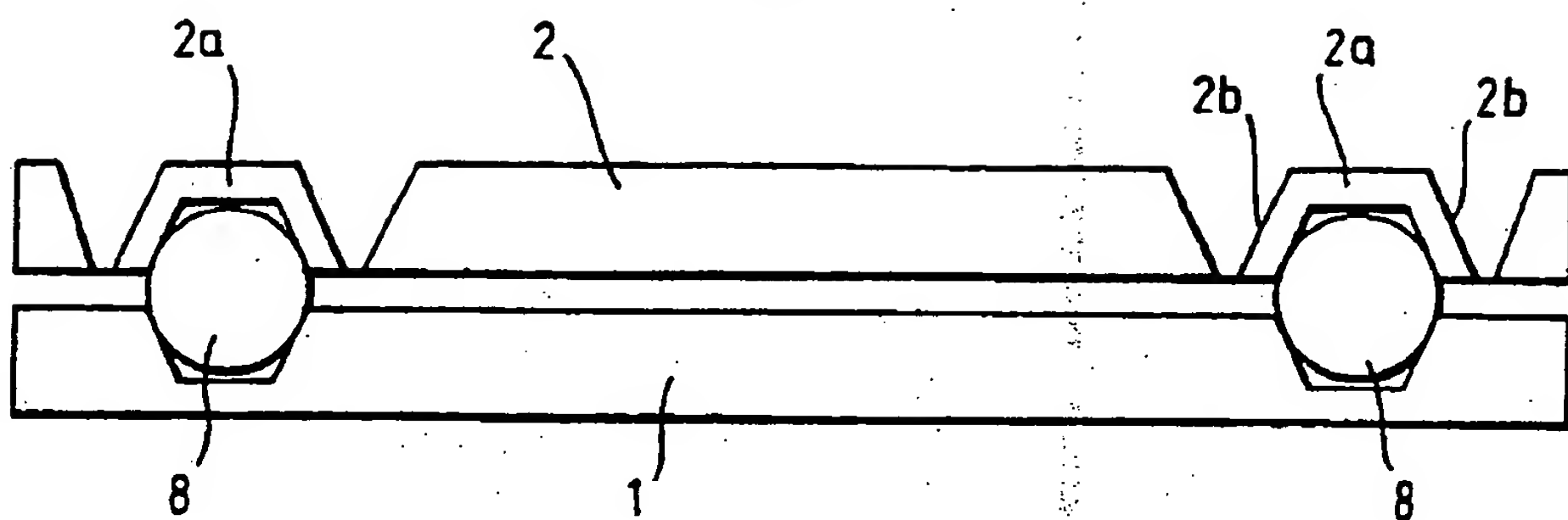
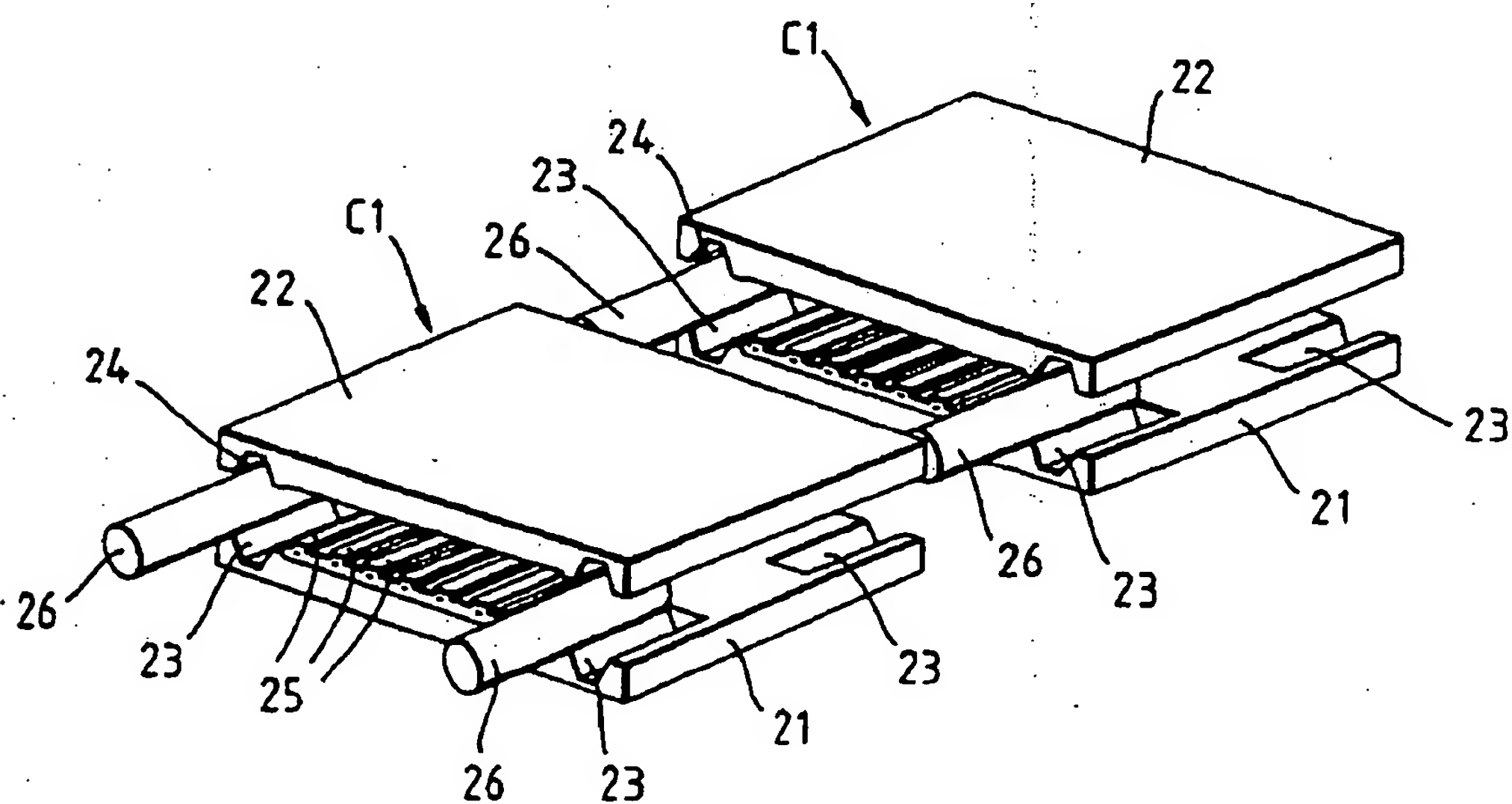


Fig. 6.



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Fig. 7.

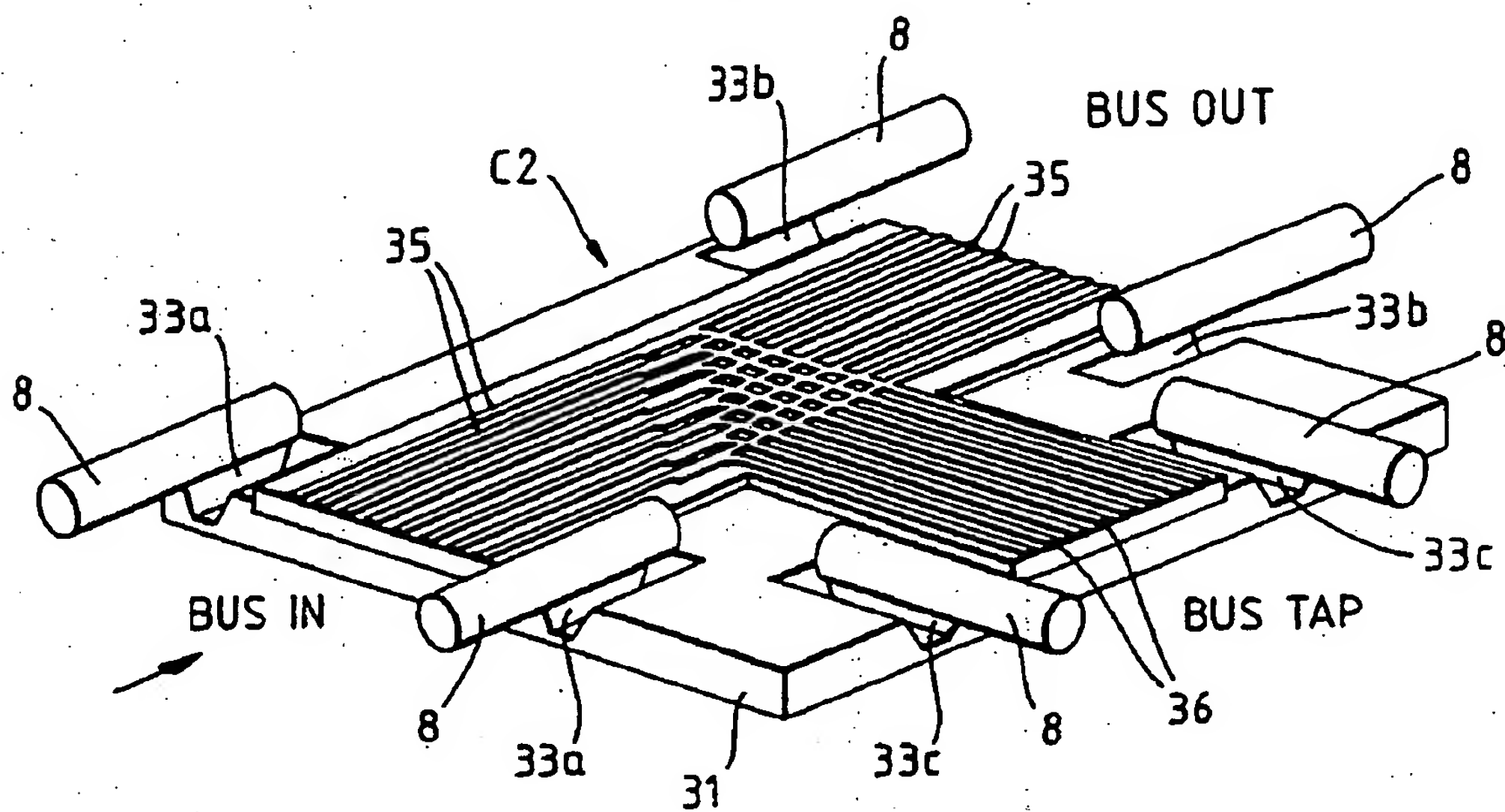
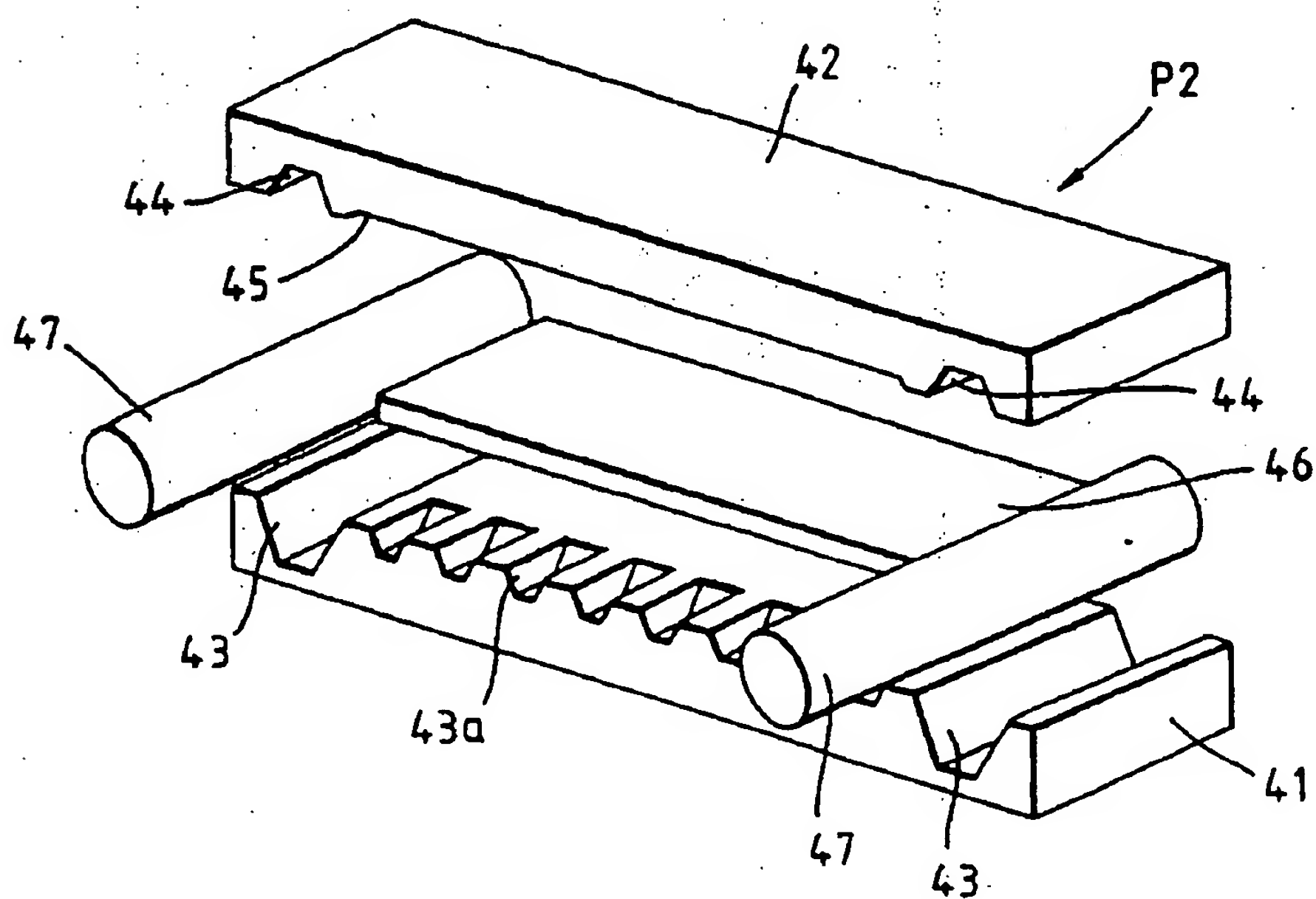


Fig. 8.



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Fig. 9.

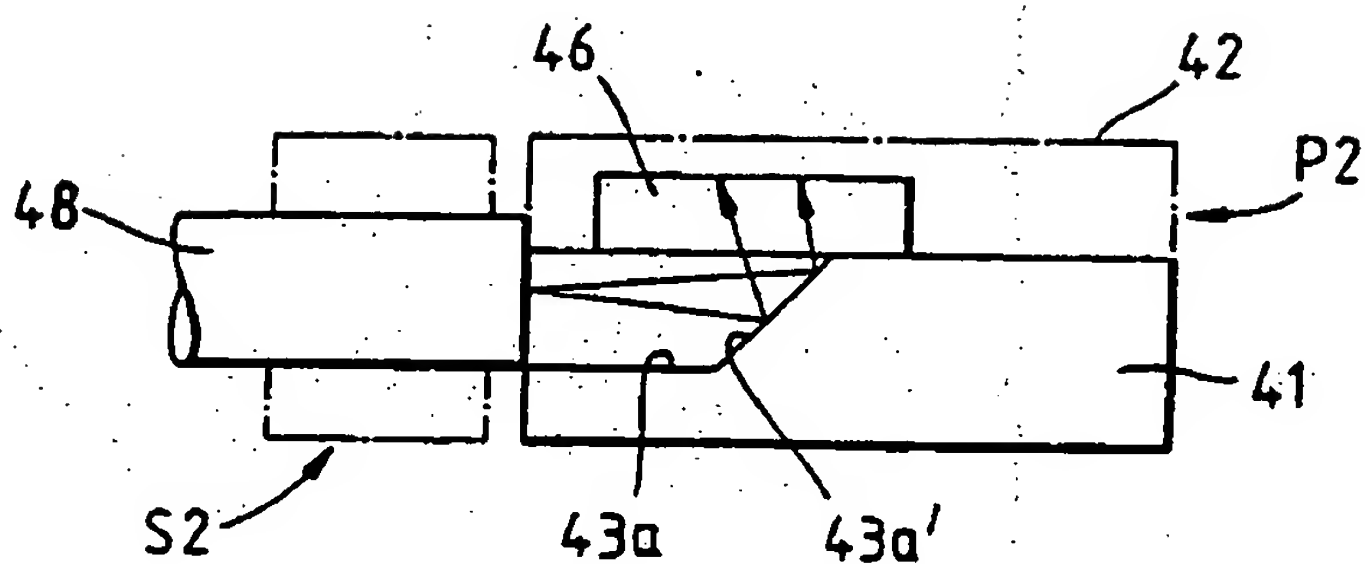
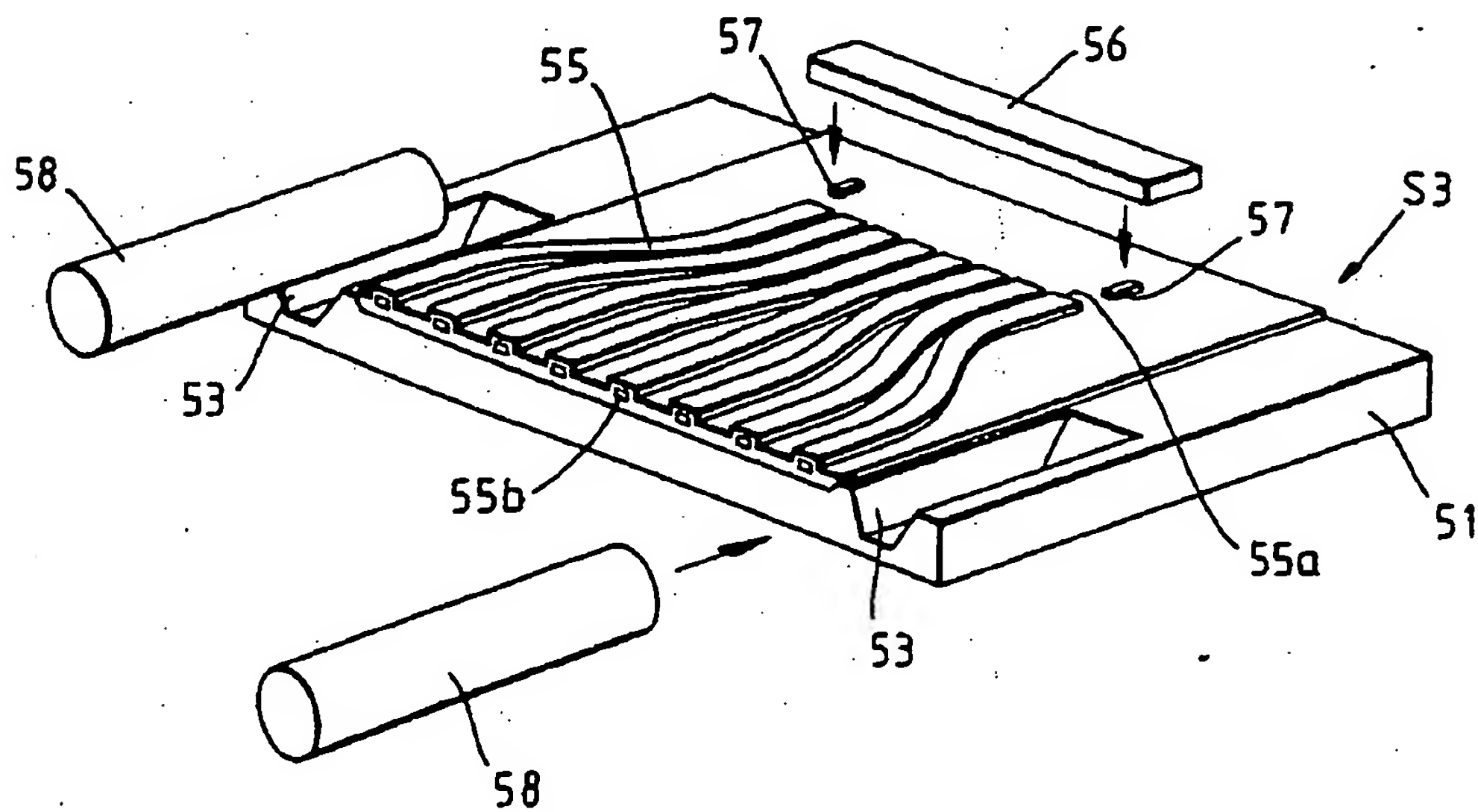
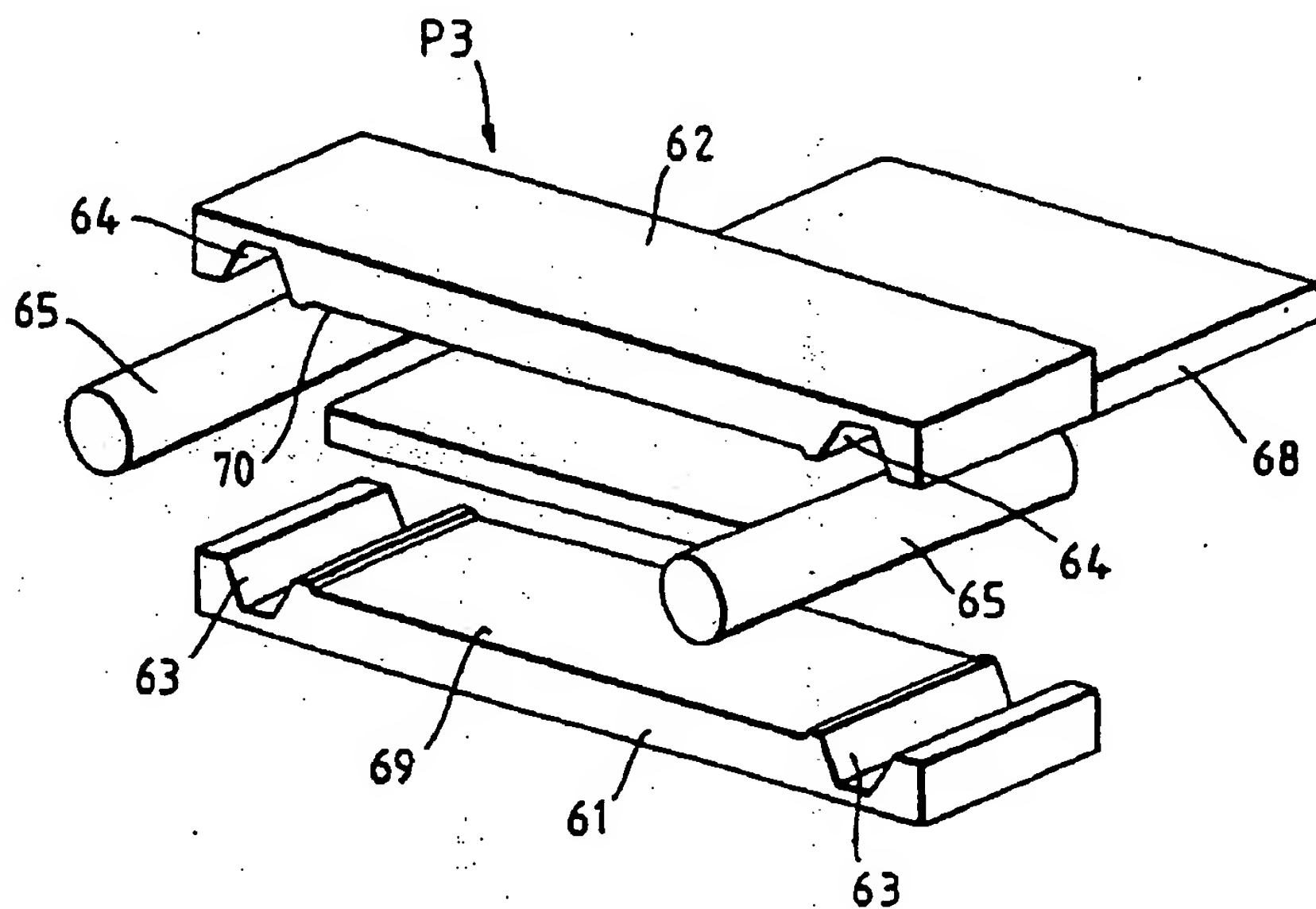


Fig. 10.

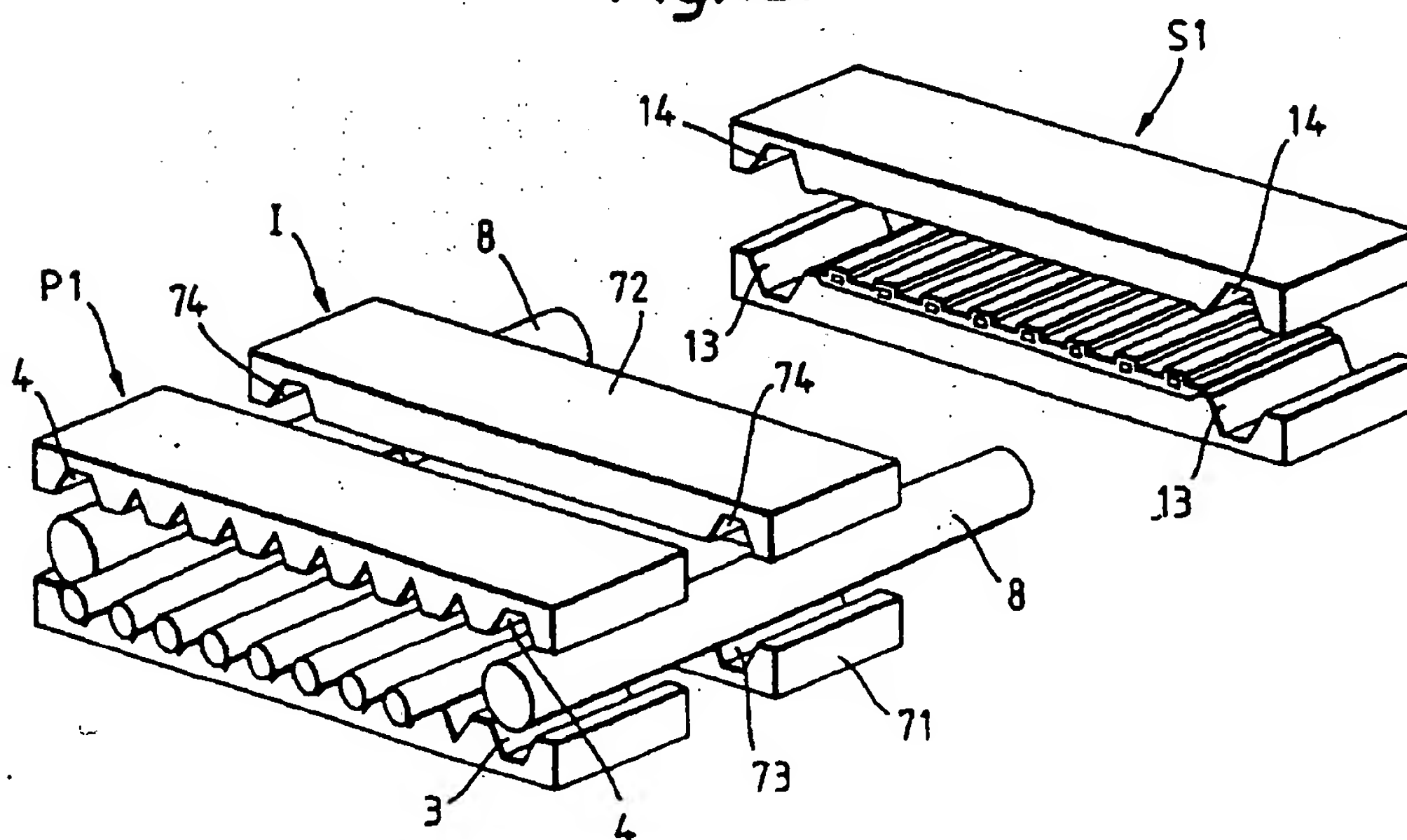


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Fig. 11.



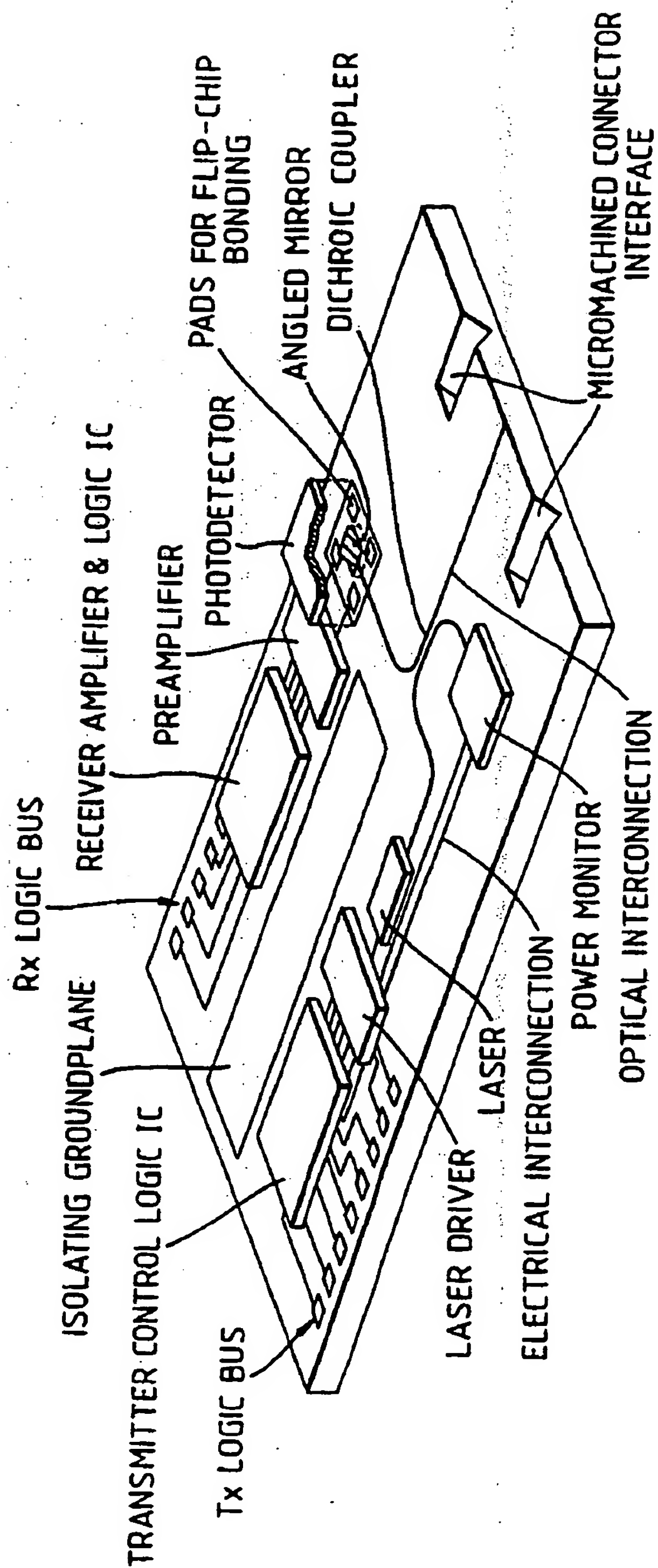
*Fig. 12.*





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Fig. 13.



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 91/01073

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) <sup>6</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int.Cl.5	G 02 B 6/38	G 02 B 6/42
II. FIELDS SEARCHED		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
Int.Cl.5	G 02 B	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup>		
Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
A	FR,A,2545617 (NIPPON SHEET GLASS) 9 November 1984, see pages 6,7; figures 1-4	1,2,6,7 10-17, 20-22
A	EP,A,0331334 (AT & T) 6 September 1989, see columns 3-5; figures 1-4 (cited in the application)	1,2,6,7 10,11, 15
A	US,A,4639074 (E.J. MURPHY) 27 January 1987, see column 2; figures 1-5	11,15
A	Patent Abstracts of Japan, vol. 6, no. 243 (E-145)[1121], 2 December 1982, & JP, A, 57143886 (FUJITSU K.K.) 6 September 1982, see the whole document	12,13
-/-		
<p><sup>10</sup> Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p>		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
17-09-1991	22. 10. 91	
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## III. DOCUMENTS CONSIDERED TO BE RELEVANT

(CONTINUED FROM THE SECOND SHEET)

Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No.
A.	IEEE Communications Magazine, vol. 26, no. 1, January 1988, IEEE, T. Nakagami et al.: "Optical and optoelectronic devices for optical fiber transmission systems", pages 28-33, see figure 1 ---	12,13, 16
A	EP,A,0331331 (AT & T) 6 September 1989, see abstract; figures 1-5 ---	16,17
A	Sixth European Conference on Optical Communication, York, 16-19 September 1980, R. Jocteur et al.: "A new fiber ribbon cable", pages 342-346, see figures 1-3 -----	14

**ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.**

GB 9101073  
SA 49244

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Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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		JP-A- 57158824	30-09-82
		CA-A- 1193477	17-09-85
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		JP-A- 1229207	12-09-89
US-A- 4639074	27-01-87	None	
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		JP-A- 2009183	12-01-90
		US-A- 4945400	31-07-90

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